

Control Families			
Family	Name	Ct	Governance Domain
ARCS-LIF	Record Lifecycle	13	Creation, classification, retention tiers, deletion, vendor deletion verifiability
ARCS-CUS	Custody Surface	12	Custody identification, multi-vendor propagation, authorization-gap custody
ARCS-TAX	Record Taxonomy	11	Record classification, category-based lifecycle rules, risk-tiered treatment
ARCS-OPB	Operator Boundary	5	Operator boundary scoping, jurisdictional and deployment context
ARCS-PUB	Publish Boundary	6	Publication, export, copy, and derivative record controls
ARCS-NCR	Non-Creation Posture	6	Non-creation declarations, ephemeral mode verification
ARCS-PV	Preservation / Legal Hold	7	Preservation triggers, hold procedures, multi-vendor preservation communication
ARCS-VER	Verification and Audit	7	Evidentiary support, traceability, auditability, deletion verification
ARCS-AGT	Agent Runtime	13	Agent-specific custody governance, tool-call record surfaces, runtime enumeration
ARCS-DEL	Delegation and Memory	12	Delegation patterns, memory persistence, cross-session record governance
<b>Total</b>		<b>92</b>	controls across 10 families

Conformance Profiles		
Profile	Required Families	Assessment
<b>Foundation</b>	LIF, CUS, TAX (NCR if claimed)	Self-attestation
<b>Minimum</b>	LIF, CUS, TAX, OPB, PUB, PV, VER (NCR if claimed) + AGT/DEL if applicable	Self-attestation or audit
<b>Enterprise</b>	All Minimum families + universal enhanced controls	Third-party assessment

### Key Structural Rules

- Conformance levels are cumulative. Each higher level includes all requirements of lower levels.
- A conformance claim must identify the standard version assessed, the system or deployment covered, deployment modes, assessment date, and the responsible assessor.
- Partial satisfaction of a higher level may be documented but shall not be represented as full conformance to that level.
- The institutional minimum target for ARCS conformance is Level 4.
- Level 5 requires independent third-party assessment per Standard Annex I.
- Prohibited claims (Section 22) require evidence-based verification specific to each material record class and each relevant surface.
- Sovereignty receipts and deletion confirmations are evidence of governance conditions, not proof of complete absence across all infrastructure.

### Conformance Levels (0-5)

- 0 No surface governance**  
 None  
 Interaction records exist but the implementation has not identified, mapped, or documented the record, custody, discovery, and review surfaces. Level 0 does not constitute meaningful governance.
- 1 Record identification**  
 Section 6  
 Material record classes are identified. The implementation distinguishes interaction records from unrelated system data. Record creation is recognized as part of the interaction lifecycle.
- 2 Surface mapping**  
 Sections 6-9  
 Record surface mapped, custody surface mapped, discovery and review surfaces identified, material custodians listed. Retention, routing, and lifecycle controls may remain incomplete.
- 3 Documented lifecycle governance**  
 Sections 6-13  
 Feedback routing, retention behavior, and deletion and suppression behavior are documented. Record behavior is described for each deployment mode. Surface mapping may be incomplete across multi-vendor or advanced runtime cases.
- 4 Governance-grade implementation** *INSTITUTIONAL MINIMUM*  
 Sections 6-15, 19-21  
 Full surface maps, routing, retention, deletion, and preservation behavior documented. Multi-vendor propagation addressed. Configuration exposure matrix maintained. Audit evidence sufficient for conformance claim. Does not require full governance of intermediate, autonomous, or derivative artifacts.
- 5 Full-surface and advanced runtime**  
 Sections 6-21 (incl. 16-18)  
 All known record surfaces governed, including advanced runtime, derivative, and autonomous execution artifacts. Independent third-party assessment required per Standard Annex I.

### Four Governed Surface Types

- Record Surface** Where interaction records are created and stored.
- Custody Surface** Who holds the records and under what authority.
- Discovery Surface** Where records can be found during legal or regulatory inquiry.
- Review Surface** Where records can be inspected, audited, or assessed.

### Standard Annexes (A-I)

- A Normative Definitions
- B Record Surface Mapping Template
- C Custody Surface Template
- D Discovery and Review Template
- E Configuration Matrix Template
- F Multi-Vendor Assessment Template
- G Audit Evidence Checklist
- H Conformance Statement Template
- I Independent Assessor Guidance (normative for Level 5)

### Prohibited Claims (Section 22)

The following claims require evidence-based verification before they may be made: "No records are created," "No records are retained," "All records are deleted immediately," "Records cannot be recovered," "No third-party access," "Zero discovery exposure," "Complete privacy," "No vendor copies," "No backup retention," and "Full user control of all records."

Prohibited claims made without verification constitute misrepresentation and prevent conformance.